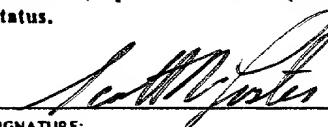


JC04 Rec'd PCT/PTO 09 MAY 2001

FORM PTO-1130 (REV 12-29-99)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				STUR-37	
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/831468	
INTERNATIONAL APPLICATION NO. PCT/DE99/03578		INTERNATIONAL FILING DATE 10 November 1999		PRIORITY DATE CLAIMED 12 November 1998	
TITLE OF INVENTION Method And Device For Machining Workpieces Using High-Energy Radiation					
APPLICANT(S) FOR DO/EO/US Christian Kratzsch et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11. to 16. below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: 					

US APPLICATION NO. 09/831468 INTERNATIONAL APPLICATION NO. PCT/DE99/03578		ATTORNEY'S DOCKET NUMBER STUR-37					
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		CALCULATIONS	PTO USE ONLY		
CALCULATIONS	PTO USE ONLY						
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"></td> <td style="width: 50%; text-align: right;">\$ 840.00</td> </tr> <tr> <td></td> <td style="text-align: right;">\$</td> </tr> </table>			\$ 840.00		\$
	\$ 840.00						
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	23 - 20 =	3	X \$18.00				
Independent claims	2 - 3 =	0	X \$80.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00				
TOTAL OF ABOVE CALCULATIONS =			\$ 894.00				
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			\$ 447.00				
SUBTOTAL =			\$ 447.00				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$				
TOTAL NATIONAL FEE =			\$ 447.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$ 40.00				
TOTAL FEES ENCLOSED =			\$ 487.00				
			Amount to be:				
			refunded				
			\$				
			charged				
			\$				
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>487.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-0221</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: Scott R. Foster Pandiscio & Pandiscio 470 Totten Pond Road Waltham, MA 02451-1914 Tel. (781) 290-0060							
			SIGNATURE:  Scott R. Foster				
			NAME 20,570				
			REGISTRATION NUMBER				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Christian Kratzsch et al.
For: METHOD AND DEVICE FOR MACHINING
WORKPIECES USING HIGH-ENERGY RADIATION
Attorney's Docket No.: STUR-37

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT

In the claims:

Cancel claims 1-23 and insert new claims 24-46:

24. A method for processing workpieces (20) by means of laser radiation (1), in which the radiation (1) is focused by a processing optic onto a processing site (40), in which the light radiation emanating from the workpiece (20) is received utilizing the processing optic and is analyzed by a detector (11) of a process monitoring system, and in which an optical measurement with respect to a surface of the workpiece is performed in a processing area of the workpiece (20) by means of an external source (32 to 34) of measuring light, utilizing measuring light reflected from the processing area, wherein the light radiation used for process monitoring and the reflected measuring light are detected utilizing a single processing optic.
25. The method as recited in claim 24, wherein the light radiation used for process monitoring and the reflected measuring light are detected utilizing the single processing optic, a selected one of substantially isoaxially with the light radiation and axially parallel thereto.
26. The method as recited in claim 24, wherein an optical measurement performed with respect to the workpiece surface is a selected one of a measurement of a distance between the processing optic and the workpiece (20), and a mapping of the workpiece geometry before the processing site (40), and a mapping of a seam geometry present after processing, and mapping of a melt (23) produced at the processing site (40).

27. The method as recited in claim 24, wherein different zones (I, II, III) of the processing area of the workpiece (20) are detected by means of the detector (11).
28. The method as recited in claim 27, wherein a first of the zones of the processing area comprises an interaction zone (22), a second of the zones encompassing the first zone, comprises a region of the melt (23), and a third of the zones comprises a whole processing area.
29. The method as recited in of claim 28, wherein sensing of the different zones (I to III) of the processing area takes place simultaneously.
30. The method as recited in claim 28, wherein the light radiation used for process monitoring is secondary radiation from the interaction zone (22) and used as reflected measuring light, is measuring light from regions of the processing area surrounding the interaction zone (22).
31. The method as recited in claim 29, wherein the sensing of the processing area as a whole is performed by means of the detector (11) with local resolution.
32. The method as recited in claim 31, wherein the detector (11) is provided with a selected one of linearly and areally arranged sensors.
33. The method as recited in claim 32, wherein all the sensors of the detector (11) read out for analysis observation windows of at least two sensors forming zones (I, II or III) of the processing area.
34. The method as recited in claim 33, wherein the observation windows are varied with respect to position and size on the basis of detector data, and analysis of results of optical measurements is suspended intermittently based on analytical data from the detector (11).

35. A device for processing workpieces (20) by means of laser radiation (1), comprising a processing optic that focuses the radiation (1) onto a processing site (40) and that detects the light radiation emanating from a workpiece (20) for a detector (11) of a process monitoring system having a predefined optical axis, and comprising an external measuring-light source (32 to 34) whose measuring light, reflected from a processing area of the workpiece, is used to perform an optical measurement at the surface of the workpiece, wherein the measuring light can be detected by means of said processing optic.
36. The device as recited in claim 35, wherein the measuring light can be detected by means of said processing optic within a predefined optical axis (10) of the light radiation emanating from the workpiece (20).
37. The device as recited in claim 35, wherein the detector (11) is adapted for observing different zones (I to III) of a processing area of the workpiece (20) with local resolution.
38. The device as recited in claim 35, wherein a component decoupling the measuring light and the light radiation is disposed in a beam path of the laser radiation (1).
39. The device as recited in claim 35, wherein said measuring-light source (32 to 34) is disposed inside a processing head comprising said processing optic.
40. The device as recited in claim 38, wherein said measuring-light source (32 to 34) is structurally combined with said decoupling component.
41. The device as recited in claim 36, wherein the measuring light from said measuring-light source (32 to 34) is projected onto the workpiece (20) at an angle with respect to the predefined optical axis (10).

42. The device as recited in claim 36, wherein the measuring light from said measuring-light source (32 to 34) is projected onto the workpiece (20) as an envelope of a selected one of a cone, and truncated cone, and as straight line segments.
43. The device as recited in claim 36, wherein the measuring light from said measuring-light source (32 to 34) is amplitude-modulated at a fixed frequency.
44. The device as recited in claim 36, wherein the measuring light from said measuring-light source (32 to 34) can be applied to different observation sites of the workpiece (20) in temporal succession with repetition at a high frequency.
45. The device as recited in claim 35, wherein the detector (11) has a dynamic range extending over plural decades of luminous and radiation intensity.
46. The device as recited in claim 37, wherein disposed ahead of the detector (11) is an optical filter system (12) adapted to delimit the observation zones (I, II or III) of the processing area.

REMARKS

The above amendments serve to eliminate multiple dependencies and improve the language and form of the claims.

Respectfully submitted

 5/9/01

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November 9, 1999

Method and Device for Processing Workpieces
by Means of High-Energy Radiation

The invention is directed to a method having the features of the preamble to Claim 1.

A method comprising the aforesaid method steps is generally known. It is used, for example, for a form of welding processing of workpieces in which the process monitoring system performs keyhole monitoring of the processing site and a distance measurement is effected by means of the external measuring-light source in order to measure or regulate the distance between the processing optic and the workpiece. The light radiation emanating from the workpiece, specifically secondary or thermal radiation produced by the welding process, passes into the processing optic isoaxially with the high-energy or laser radiation and is decoupled there for the detector of the process monitoring system. However, distance measurement or contactless mapping with respect to the geometry of the workpiece is performed by means of receiving units installed outside the processing optic. Measuring systems located outside the processing optic cause problems, however, due to the contamination of optical systems that occurs in an industrial environment, and they hamper the processing head, which is less readily accessible and cannot be used as well with complex -- especially three-dimensional -- workpiece geometries. In general, it can be stated that methods of workpiece processing involving on-line monitoring of the workpiece have heretofore been performed only with special systems tailored to the job concerned.

The object of the invention, by contrast, is to improve a method comprising the method steps cited in the introduction hereto in such a way that a combination of process monitoring and additional monitoring measurements can be performed on the workpieces.

This object is accomplished by means of the method steps recited in the characterizing part of Claim 1. It is of significance for the invention that the processing optic detects not only the light radiation used for process monitoring, but also the measuring light used for optical measurement that is reflected by the workpiece. This eliminates the need to install measuring systems for the measuring light on the measuring head or near the processing optic, such measuring systems being lens systems that can become contaminated during industrial operation and can limit the range of application of the workpiece processing operation.

The method is suitable for all types of laser-beam sources, for example CO₂ lasers, Nd:YAG lasers and diode lasers. The method is also suitable for all kinds of materials processing employing high-energy or laser radiation, such as welding, cutting or coating. Owing to the integration of plural measuring methods into the area of the processing optic, the method is suitable for all types of process and quality monitoring, especially in the areas of tailored blanks and 3D contour processing.

Integrating the measuring systems into the area of the processing optic has numerous advantages. Especially notable is compact construction in the region of the processing head. Components required for known methods are no longer needed. The result is a proportionate decrease in maintenance expenditure, since, for example, it is no longer necessary to clean additional optics. The procedures involved in processing a workpiece are simplified, since fewer structural components have to be attended to and the integration of the measuring steps simplifies analysis of the measurement results. The overall cost of the method is therefore decreased.

The method can advantageously be realized so that the light radiation used for process monitoring and the reflected measuring light are detected, utilizing the same processing optic, isoaxially or approximately isoaxially with the light radiation or axially parallel thereto. Such detection ensures that the processing optic and hence the processing head can be used without any major changes of the kind made necessary by overly large angles or oblique lines of sight, for example widening of the hole in a hole mirror.

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The method is, in particular, universally applicable. It is suitable for all types of optical measurements relating to the workpiece during processing. This is of significance because quality assurance during processing demands an extremely wide variety of measurements with respect to the workpiece. To this end, the method can be performed so that the optical measurement performed with respect to the workpiece surface is a measurement of the distance between the processing optic and the workpiece and/or a mapping of the workpiece geometry before the processing site and/or a mapping of the seam geometry present after processing and/or a mapping of the melt produced at the processing site. Mapping of the distance between the processing optic and the workpiece is necessary, for example, in applications where the workpiece exhibits dimensional changes in the direction of the laser beam. Mapping of the workpiece geometry before processing serves to detect geometric defects such as edge displacement, gaps, etc., and permits seam tracking. Mapping of the seam geometry present after processing can be used to regulate process variables so as to maintain a given seam quality. Mapping of the melt produced at the processing site furnishes comparative data for comparison with reference values from processing operations having defined parameters, any discrepancies being evidence of processing errors. The foregoing optical measurements do not constitute an exhaustive list. They do, however, make it possible to simplify the most significant industrial methods in order to achieve the object stated in the introduction hereto.

The method can preferably be performed so that different zones I, II, III of the processing area of the workpiece are detected by means of the same detector. Metrologic sensing of different zones of the workpiece processing area serves to eliminate distortion from measurement tasks, since a larger area can be measured. This simplifies control of the processing operation. For example, the workpiece geometry can be detected at a greater distance from the processing site, making it possible to take compensatory action, for example by the control of guide rollers positioning the workpiece. It is possible to work with different qualities of light at different locations in the processing area, for example with light of different intensities. All such measurement tasks can be performed with one and the same detector, making for considerable method integration.

The method can be performed so that the first zone of the processing area is taken to be the region of an interaction zone, the second zone, encompassing the first, is taken to be the region of the melt, and the third zone is taken to be the processing area as a whole. These zones of a workpiece processing area exhibit typical characteristic curves for the light radiation and typical geometrical characteristics that can be used to influence process control. For example, in a given processing task the melt has a typical shape and dynamics that can be mapped optically to draw conclusions regarding disturbances of the process.

The manner of proceeding is advantageously such that the sensing of different zones of the processing area takes place simultaneously. Performing all the measurement tasks simultaneously eliminates delays in the monitoring and control of the processing method, thus reducing the susceptibility to error of the processing operations.

The method can be performed so that the light radiation used for process monitoring is secondary radiation from the interaction zone, and used as reflected measuring light is measuring light from regions of the processing area surrounding the interaction zone. Process monitoring by means of secondary radiation from the vapor capillary is a proven method, but it entails dealing with high light intensities and high intensity fluctuations. To preclude the resulting disruptions of the optical measurement that also must be performed -- and, in particular, simultaneously -- the light from the regions of the processing area surrounding the vapor capillary is used as reflected measuring light. It is preferred that such measuring light come from the third zone, i.e., from the portion of the processing area surrounding the melt.

To enable the largest possible number of measurement tasks to be performed in the work area, the approach can be such that sensing of the processing area as a whole, i.e., the region of measurement, is performed by means of a detector with local resolution. The quality of the local resolution performed by the detector determines the multiplicity of such sites and the quality of the measurements. The detector can be realized in different ways to suit the needs of the welding task concerned. In contrast to averaging detectors, such detectors can also reliably detect variable processes taking place in the processing area, for example dynamic motions in the region of the melt.

The method employing a detector that performs local resolution can be implemented so that all the sensors of the detector can read out for analysis the observation windows of at least two sensors forming zones of the processing area. Detectors with linearly arranged sensors are especially well suited for linear or planar seam geometries. Detectors with areally arranged sensors are preferentially suited for three-dimensional workpiece geometries.

When detectors with a large number of individual sensors are used, large quantities of data must be processed in a short time if high readout rates are required for sufficiently fast process adjustment, for example in the case of on-line monitoring. This can place high demands on the efficiency of the process monitoring system and thus on the analyzing units. It is advantageous, therefore, to reduce the amount of data generated. To this end, the method is advantageously performed so that a detector having linearly or areally arranged sensors is used. For example, an observation window aimed at the vapor capillary and an observation window aimed at the finished seam are provided. The data from these windows can be analyzed at a high clock rate, so that even rapid process sequences can be analyzed reliably.

The method described hereinabove can be improved so that observation windows are varied with respect to position and size on the basis of detector data and/or so that analysis of the results of optical measurement is suspended intermittently based on analytical data from the detector. For example, a window for determining seam tracking or seam mapping by the light section method can be limited to a few pixels around the seam. If, in this example, the position of the seam changes, for example due to a positioning error or an unstraight path, then the analyzed image of the seam from the window can be used to determine how the window must be shifted or enlarged so that the seam can continue to be tracked or mapped. The amount of data can also be reduced by not analyzing the results of simultaneous measurements. For example, it is not necessary to perform distance measurement, mapping of the workpiece geometry, mapping of the seam geometry or mapping of the melt as long as no errors are being detected by the process monitoring system. Only when the processing monitoring system does detect errors is one or more of the foregoing measurements or mapping operations carried out.

The invention is also directed to a device having the features of the preamble to Claim 12. This device also accommodates the above-recited critical features of the method referred to, and the object stated hereinabove is achieved by means of the features recited in the characterizing part of Claim 12.

A major advantage of the aforesaid device is compact construction in the area of the processing head. The device can also perform geometrically complex processing tasks without the disruptive effects of externally placed measuring-light sensors. Individual measuring systems can be fully integrated into the processing head, at least at the measurement end. Measurement results can be obtained with a reduced number of detectors or sensors, all employing the same processing optic.

The device can advantageously be realized so that the measuring light can be detected within the predefined optical axis of the light radiation emanating from the workpiece, or approximately isoaxially therewith or parallel thereto, by means of one and the same processing optic. Measurements can preferably be performed within the optical axis of the light radiation from the workpiece or parallel to said optical axis. The optical axis itself can also coincide with the axis of the high-energy or laser radiation. This can substantially reduce the overall cost of the device.

It is advantageous to realize the device so that there is a single detector suitable for observing different zones of the processing area of the workpiece, with local resolution if necessary. The use of a single detector aids substantially in simplifying the mechanical construction of the device. Simple retrofitting to existing installations is facilitated, in particular. In such cases the detector can be adapted to the observation tasks concerned, for example by having observation characteristics with local resolution that are adapted to different zones of the processing area of the workpiece.

To make use of processing heads of proven design, the device is realized so that a component decoupling the measuring light and/or the light radiation is disposed in the beam path of the high-energy or laser radiation. Such components are, for example, dichroic mirrors that either reflect or are transparent to the laser radiation. Focusing hole mirrors, scraper mirrors or decoupling

prisms can also be used. Their usage is determined, for example, by the radiation intensity of the laser radiation or by the beam quality.

A further substantial improvement of the device can be achieved by disposing the source of measuring light inside a processing head comprising the processing optic. This results in full integration of the entire measuring-light guidance system.

The above-described full integration can, in particular, be achieved by structurally combining the measuring-light source with the decoupling component or by arranging it spacedly in front of or behind said component. As a result, the region of the processing optic is free of built-in components and the measuring-light source is protected to at least the same degree as the processing optic installed in the processing head.

An advantageous improvement of the device is distinguished by the fact that the measuring light from the measuring-light source is projected onto the workpiece at an angle with respect to the predefined optical axis. Optical measurement can then be performed by triangulation. For triangulation with the light-section method, the angle between the direction of incidence of the measuring-light beam on the workpiece and the predefined axis of the processing optic must be less than 0 and more than 90 angular degrees. This condition is satisfied by the previously described embodiment of the device.

In particular, the device is realized such that the measuring light from the measuring-light source is projected onto the workpiece as the envelope of a cone or truncated cone and/or as straight line segments. Projection of the measuring light as the envelope of a cone can be accomplished by means of one or more light generators arranged in the processing head as space permits. This is also possible with projection of the measuring light as straight line segments, if plural light generators generate light in straight line segments so arranged on the workpiece as to produce a desired light line thereon. For example, a circular line can be approximated by means of individual straight segments.

One basic problem is how to analyze process illumination simultaneously for more than one monitoring operation. A particular problem in this connection is that of distinguishing the measuring light being used for optical measurement from such light radiation originating, for example, as secondary radiation from the processing site on the workpiece. To eliminate this inconvenience, the device is realized so that the measuring light from the measuring-light source is amplitude-modulated at a fixed frequency. The modulation frequency used must be less than half the scanning rate of the detector. The detector signals can be analyzed frequency-selectively with respect to the modulation frequency by known signal processing methods, for example by fast Fourier transformation. The device can increase reliability in recognition of the measuring-light aperture through improvement of the signal-to-noise ratio.

A further embodiment of the device can be realized in that the measuring light from the measuring-light source can be applied to different observation sites on the workpiece in temporal succession with repetition at a high frequency. In particular, a circular shape or other desired shape for the measuring-light line on the workpiece can be produced by means of mutually aligned light spots or line segments, if these can be generated in succession on the predefined light path at a sufficiently high rate. To this end, for example, the measuring-light source itself can rotate or the measuring light can be deflected by rotating mirrors, especially in the case of circular deflection.

However, the problem of disruption of the optical measurements by the process illumination can also be solved by means of an embodiment of the device in which the detector has a dynamic range extending over plural decades of luminous or radiation intensity. Such a detector can be a CMOS camera, for example.

The aforesaid problem can also be solved by means of an embodiment of the device in which disposed ahead of the detector is an optical filter system possessing characteristics that delimit the observation zones of the processing area. Such filter systems are provided with special filters whose filter characteristic is adapted to the observation-zone-delimiting functions to be performed in this case. Such filters include mere attenuating filters and wavelength-selective filters.

The invention is described with reference to the exemplary embodiments depicted in the drawing, wherein:

- | | |
|-----------------|--|
| Figs. 1 to 3 | are diagrammatic representations of workpiece processing devices, |
| Figs. 4a and 4b | are views of the processing areas of a workpiece, |
| Figs. 5a to 5f | are diagrammatic representations explanatory of the arrangement and action of measuring-light sources, and |
| Fig. 6a and 6b | are diagrammatic representations explanatory of selective filters. |

Figure 1 shows, as a rectangle, a housing 2 of a processing optic, consisting of a deflecting mirror 3 and a hole mirror 4, by means of which the laser radiation 1 is projected onto a workpiece 20, the hole mirror 4 focusing the laser radiation onto a processing site 40 of a workpiece 20. The laser radiation 1 is of such high energy that a vapor capillary 22 forms in the workpiece 20 at the processing site 40 and causes the vaporization of work material, with the subsequent formation of a plasma 21. It is not absolutely necessary for a vapor capillary 22 to form at the processing site 40, i.e., in the region of the interaction zone in which the energy of the laser radiation 1 is coupled into the workpiece 20. The processing method can also be one in which the threshold of plasma formation is not exceeded. The workpiece 20 is moved relative to the laser radiation 1, so that, in the welding operation shown, a weld seam 24 is formed. A region of the melted workpiece 20 surrounding the vapor capillary 22 is liquefied and is depicted as a melt 23.

The heating of the workpiece 20 produces thermal radiation that enters the region of the processing optic as secondary light radiation. The axis of this light radiation is denoted by 10. It is predefined by the hole 41 present in the hole mirror 4. Since mirror 4 is realized as solid, for example as a solid copper mirror designed to reflect intense laser radiation from a CO₂ laser, hole 41 is cylindrical and its orientation with respect to processing site 40 affects the arrangement of axis 10. As dictated by this axis, the secondary light radiation passes through an optical system 12 to a detector 11.

In Figs. 2 and 3, a processing optic mounted inside the housing 2 consists of a collimating lens 5 and a focusing lens 6 disposed thereafter in the direction of the laser radiation 1. Between the two lenses 5, 6 is a dichroic mirror 7, 8 that has different transmission and reflection characteristics for light of different wavelengths. Dichroic mirrors lend themselves in particular to workpiece processing with Nd:YAG lasers. Dichroic mirror 7 of Fig. 2 reflects the laser radiation 1, but is transparent to light radiation coming from the workpiece 20. Lenses 5, 6 are accordingly disposed at an angle, as shown in the drawing, so that the horizontally emitted laser radiation 1 is deflected at a right angle to focusing lens 6, which focuses it on workpiece 20. Dichroic mirror 8 of Fig. 3, by contrast, is transparent to the laser radiation 1. Lenses 5, 6 are therefore arranged successively in the radiation direction of the radiation 1, enclosing mirror 8. It, however, reflects the secondary light radiation coming from workpiece 20, so that optical axis 10 is deflected according to the arrangement of mirror 8. In accordance with this optical behavior of mirrors 7, 8, optical system 12 and the detector are disposed above housing 2 in Fig. 2 and to the left of housing 2 in Fig. 3.

Figures 4a and 4b show the processing area of the workpiece 20 in the direction of the laser radiation 1, but without the processing optic. A finished weld seam 24 adjoins the melt 23, in which the vapor capillary 22 is located. Since different processes are taking place and different conditions are therefore present in the vapor capillary 22, the melt 23 and the finished weld seam 24, it is advantageous to define zones that differ in a corresponding manner. The first Zone I of the processing area is considered to be the region of vapor capillary 22. The second Zone II is considered to be the region of the melt surrounding the first Zone I, and the third Zone III is considered to be the region of weld seam 24 and the processing area surrounding or delimiting Zones I and II and weld seam 24. In practice, the processing area can have an edge length of a few centimeters.

The processing area is observed by a single detector, which is located after the optical system 12 in the direction of reception of the radiation as depicted in Figs. 1 to 3. Such detectors possess linearly or areally arranged sensors that detect over a plurality of pixels.

Line or area sensors are preferably used for observation accompanied by local resolution. The radiation incident on one or more pixels is analyzed differently according to the sensor arrangement and is assigned to different locations in the processing area. Thus, sensors can be used to observe Zone I, other sensors to observe Zone II, and third sensors of the same detector to observe Zone III. Individual ones of these sensors can form windows that are assigned to only one sub-area of a zone. In particular, some of the sensors of detector 11 are assigned to observe Zone I in order to detect light radiation used for process monitoring, i.e., the secondary light radiation from the vapor capillary 22. Further, at least one additional group of sensors is assigned to detect measuring light that is reflected by the processing area during an optical measurement. Figure 4b provides a representation of a light line 30 on the workpiece 20 in which the light line is projected in the shape of a circle. The center of the circle coincides with the vapor capillary 20 and is therefore defined by the position of the optical axis 10. By means of line 30, the workpiece geometry can be tracked ahead of the processing site 40 and in the region of the finished seam 24. For purposes of explanation, Fig. 4b shows a joint line 42 formed between two mutually abutting workpiece portions. If these workpiece portions do not fit completely snugly against each other, a pit is present and a "fraying" 43 of light line 30 can be seen, since the light is not projected onto workpiece 20 vertically to the plane of representation, but instead, for example as shown in Fig. 5a, at an angle to optical axis 10. In the region of weld seam 24, the "fraying" 43, which is an outward fraying in Fig. 4b, is located opposite a centripetally oriented concavity 44 of the circular light line, since the weld seam is elevated above the level of the workpiece 20. Different seam geometries therefore result in different light paths, for example in the presence of notches, seam convexities, seam concavities or holes. Similarly, mapping of the workpiece geometry ahead of the processing site 40 permits the detection of edge displacement or cracking, for example.

Figure 4b further shows that the orientation 26 of workpiece 20 and the orientation 25 of detector 11 need not be the same, but instead can form an angle α . It is still possible to perform correct measurements, especially when a detector having areally arranged sensors is used, since the different orientations 25, 26 of detector 11 and workpiece 20 are known and can therefore be considered mathematically in the analysis performed by the detector.

Light line 30 depicted on workpiece 20 is produced by suitable projection by means of a measuring-light source 32 to 34. The projection is such that the optical measurements can be performed by the method of triangulation, for example in connection with the light section method. For triangulation to be performed, there must be an angle of less than 90 angular degrees between the direction of incidence of the measuring-light beam 31 on the workpiece 20 and the predefined optical axis 10. If a circular line 30 is to be made on the workpiece 20, this can be accomplished, as shown in Fig. 5a, by means of a pinpoint measuring-light source 32 projecting its beam 31 of measuring light as the envelope of a cone. This can be achieved, for example, by having the measuring-light source 32 project the beam 31 of measuring light through a dichroic mirror concentrically with the axis 10.

Figures 5b and 5c also depict embodiments of measuring-light sources 33 that project circular light lines 30 onto the workpiece 20. The measuring-light sources 33 can, for example, be composed of ring lamps or a plurality of annularly arranged generators of spots or dotted lines of light, from which generators the beam 31 of measuring light is projected, as shown, as a double cone or the envelope of a truncated cone, i.e., with a decreasing circle diameter.

Figures 5d to f show that the line 30 of measuring light on the workpiece does not necessarily have to be circular. Figure 5d shows an ellipsoid line 30 produced by a measuring-light source 32 disposed next to the housing 2 of the processing optic. In this case, the measuring light consequently is not emitted from the region of the processing optic 2, but instead, the measuring light reflected by the workpiece 20 is received utilizing the processing optic. Line segments are projected onto the workpiece 20 by means of the measuring-light sources 34. Such straight lines or line segments are adequate when only limited portions or windows of the processing area are to be monitored, for example transversely to the joint line 42 and/or to the finished weld seam 24. Defective joint lines 42 or weld seams 24 cause the measuring light to be reflected in a manner that deviates from the ideal shape, i.e., from circularity or linearity. When a circle or an ellipse is being projected at known angles of projection, the distance between the workpiece 20 and the processing optic can be calculated by determining diameters of the lines on the workpiece 20.

Figures 5e and 5f show that the measuring-light sources 34 can also be arranged inside the housing 2 in the region of the processing optic or outside said housing. Exemplary embodiments of the arrangement of measuring-light sources inside the housing 2 are provided in Figs. 1 to 3. Figure 1 depicts two measuring-light sources 34 for producing light lines on the workpiece 20. A measuring-light source 34 on the joint-seam side projects its beam 31 of measuring light into Zone II, i.e., into the region of the melt. A measuring-light source 34 disposed on the weld-seam side projects a beam 31 of measuring light through the hole 41 onto the region of the joint line 42. Reflected measuring light from both beams 31 of measuring light can pass through hole 41 isoaxially with the secondary light radiation from Zone I or parallel to this axis 10 into the region of the detector. In this way an optical measurement is performed at each of two different locations, in addition to measurement of the light radiation from the region of Zone I. In this arrangement the measuring-light sources 34 are protected against the laser radiation 1 and are located after the hole mirror 4.

In the arrangement of Fig. 2, there are two measuring-light sources 34 arranged in a geometry similar to that of the measuring-light sources 34 of Fig. 1. The measuring light they project, corresponding to measuring-light beams 31, passes through dichroic mirror 7 and produces measuring sites in the regions of Zones II and III, from which reflected measuring light passes back through dichroic mirror 7 into detector 11.

Figure 3 shows the arrangement of a measuring-light source 34 that is disposed inside housing 2 between focusing lens 6 and workpiece 20. Its beams 31 of measuring light are realized as the envelope of a truncated cone, since they generate ring-shaped light. Disposing measuring-light source 33 in front of mirror 8 and lens 6 in the direction of the laser radiation permits greater latitude of design in the region of the processing optic, and especially in choosing the shape of the measuring-light beam. This shape in turn makes it possible to perform not only distance measurement, but also mapping of the workpiece geometry and the geometry of the melt 23 in addition to process monitoring.

The analysis of the measuring-light radiation by means of the above-described single detector 11, which can be realized for example as a CCD camera, takes place as dictated by analytical needs. For example, process monitoring can be performed by analyzing emitted light

radiation at one or more points in the region of Zone I. This can also include analysis of the temporal means, such as the temporal behavior of the amplitudes of the pixels or even groups of pixels.

With regard to process monitoring, all known monitoring methods can be performed as usual, such as plasma monitoring, detection of root penetration, weld depth measurement, measurement of capillary geometry, etc. In these forms of process monitoring of the light from the workpiece, i.e., the emitted or reflected radiation, high radiation intensities and luminosities are normally encountered. This must consequently be taken into account in detecting the measuring light reflected by the workpiece 20, which light does not possess such luminosity. The detector is therefore preceded by the optical system 12, consisting of filters or lenses that are able to separate the light from Zone I from that of Zones II and III, unless the detectors used have a high dynamic range and do not require such separation.

Figures 6a and 6b show special filters with filter characteristics that depend on the filter radius r . Figure 6a shows a neutral filter that is depicted as an attenuating filter. Its transmission rate increases from the inside outwardly. It therefore allows little light to pass near the midpoint of the radius, thus screening out the bright luminous radiation from Zone I. Large radii, on the other hand, have a higher transmission rate of up to 100%, so that even comparatively dark measuring light can be detected. Figure 6b shows a color filter that has a different transmission rate for different wavelengths of light. For instance, using small radii the filter transmits only light of the wavelength λ_1 , whereas with larger radii it transmits only light of the wavelength λ_2 . Hence, the measuring light can be light of wavelength λ_2 that is different from the wavelength λ_2 of the light from Zone II, and the different qualities of light therefore do not disrupt analysis of the measurements, even in the case of simultaneous analysis.

Patent Claims

1. A method for processing workpieces (20) by means of high-energy radiation, particularly by means of laser radiation (1), in which the radiation (1) is focused by a processing optic onto a processing site (40), in which the light radiation emanating from said workpiece (20) is received utilizing the processing optic and is analyzed by a detector (11) of a process monitoring system, and in which an optical measurement with respect to the surface of said workpiece is performed in a processing area of said workpiece (20) by means of an external source (32 to 34) of measuring light, utilizing measuring light reflected from said processing area, **characterized in that** the light radiation used for process monitoring and the reflected measuring light are detected utilizing the same processing optic.
2. The method as recited in claim 1, **characterized in that** said light radiation used for process monitoring and said reflected measuring light are detected, utilizing the same processing optic, isoaxially or approximately isoaxially with said light radiation or axially parallel thereto.
3. The method as recited in claim 1 or 2, **characterized in that** the optical measurement performed with respect to the workpiece surface is a measurement of the distance between the processing optic and said workpiece (20) and/or a mapping of the workpiece geometry before said processing site (40) and/or a mapping of the seam geometry present after processing and/or a mapping of the melt (23) produced at said processing site (40).

4. The method as recited in one or more of claims 1 to 3, **characterized in that** different zones (I, II, III) of said processing area of said workpiece (20) are detected by means of the same detector (11).
5. The method as recited in one or more of claims 1 to 4, **characterized in that** said first zone (I) of said processing area is taken to be the region of an interaction zone (22), said second zone (II), encompassing the first, is taken to be the region of the melt (23), and said third zone (III) is taken to be the processing area as a whole.
6. The method as recited in one or more of claims 1 to 5, **characterized in that** the sensing of different zones (I to III) of said processing area takes place simultaneously.
7. The method as recited in one or more of claims 1 to 6, **characterized in that** the light radiation used for process monitoring is secondary radiation from said interaction zone (22), and used as reflected measuring light is measuring light from regions of said processing area surrounding said interaction zone (22).
8. The method as recited in one or more of claims 1 to 7, **characterized in that** the sensing of said processing area as a whole is performed by means of a detector (11) with local resolution.
9. The method as recited in one or more of claims 1 to 8, **characterized in that** a detector (11) having linearly or areally arranged sensors is used.
10. The method as recited in one or more of claims 1 to 9, **characterized in that** all the sensors of said detector (11) read out for analysis the observation windows of at least two sensors forming zones (I, II or III) of said processing area.

11. The method as recited in one or more of claims 1 to 10, **characterized in that** observation windows are varied with respect to position and size on the basis of detector data and/or analysis of the results of optical measurements is suspended intermittently based on analytical data from said detector (11).
12. A device for processing workpieces (20) by means of high-energy radiation, particularly by means of laser radiation (1), comprising a processing optic that focuses the radiation (1) onto a processing site (40) and that detects the light radiation emanating from said workpiece (20) for a detector (11) of a process monitoring system having a predefined optical axis, and comprising an external measuring-light source (32 to 34) whose measuring light, reflected from a processing area of said workpiece (40), is used to perform an optical measurement at the surface of said workpiece, particularly to perform a method of claims 1 to 11, **characterized in that** said measuring light can be detected by means of the same processing optic.
13. The device as recited in claim 12, **characterized in that** said measuring light can be detected by means of said same processing optic within said predefined optical axis (10) of the light radiation emanating from said workpiece (20) or approximately isoaxially therewith or parallel thereto.
14. The device as recited in claim 12 or 13, **characterized in that** a single detector (11) is provided that is suitable for observing different zones (I to III) of said processing area of said workpiece (20), with local resolution if necessary.
15. The device as recited in one or more of claims 12 to 14, **characterized in that** a component decoupling said measuring light and/or said light radiation is disposed in the beam path of the high-energy or laser radiation (1).
16. The device as recited in one or more of claims 12 to 15, **characterized in that** said measuring-light source (32 to 34) is disposed inside a processing head comprising said processing optic.

17. The device as recited in one or more of claims 12 to 16, **characterized in that** said measuring-light source (32 to 34) is structurally combined with said decoupling component or is arranged spacedly in front of or behind said decoupling component.
18. The device as recited in one or more of claims 12 to 17, **characterized in that** said measuring light from said measuring-light source (32 to 34) is projected onto said workpiece (20) at an angle with respect to said predefined optical axis (10).
19. The device as recited in one or more of claims 12 to 18, **characterized in that** said measuring light from said measuring-light source (32 to 34) is projected onto said workpiece (20) as the envelope of a cone or truncated cone and/or as straight line segments.
20. The device as recited in one or more of claims 12 to 19, **characterized in that** said measuring light from said measuring-light source (32 to 34) is amplitude-modulated at a fixed frequency.
21. The device as recited in one or more of claims 12 to 20, **characterized in that** said measuring light from said measuring-light source (32 to 34) can be applied to different observation sites of said workpiece (20) in temporal succession with repetition at a high frequency.
22. The device as recited in one or more of claims 12 to 21, **characterized in that** said detector (11) has a dynamic range extending over plural decades of luminous or radiation intensity.
23. The device as recited in one or more of claims 12 to 22, **characterized in that** disposed ahead of said detector (11) is an optical filter system (12) possessing characteristics that delimit said observation zones (I, II or III) of said processing area.

November 9, 1999

Abstract

A method for processing workpieces (20) by means of high-energy radiation, particularly by means of laser radiation (1), in which the radiation (1) is focused by a processing optic onto a processing site (40), in which the light radiation emanating from said workpiece (20) is received utilizing the processing optic and is analyzed by means of a detector (11) of a processing monitoring system, and in which an optical measurement with respect to the surface of the workpiece is performed in a processing area of the workpiece (20) by means of an external source (32 to 34) of measuring light, utilizing measuring light reflected from the processing area.

The method is characterized in that the same processing optic is used to detect the light radiation used for process monitoring and the reflected measuring light.

(Fig. 1)

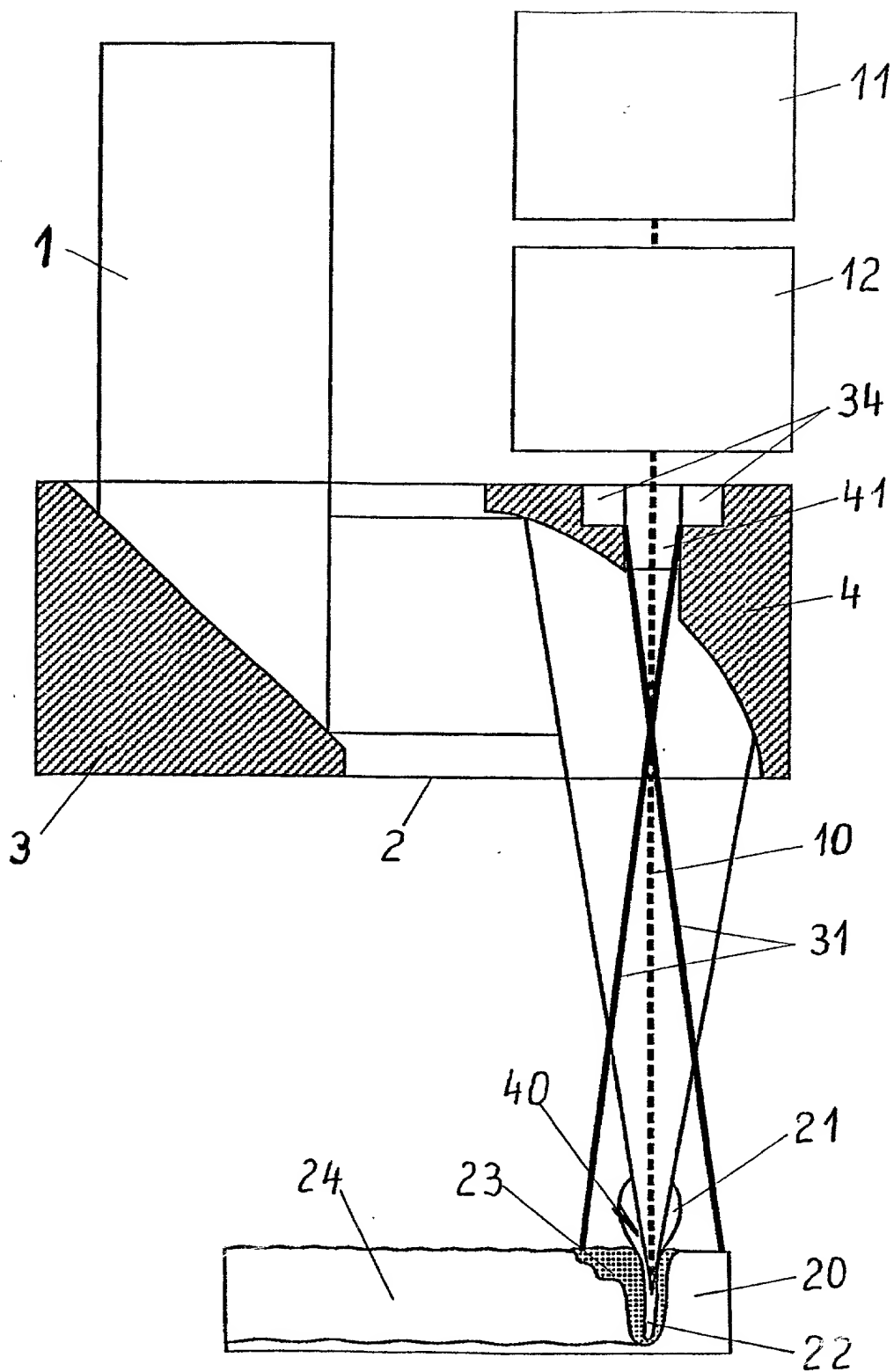


Fig. 1

09831468.050901

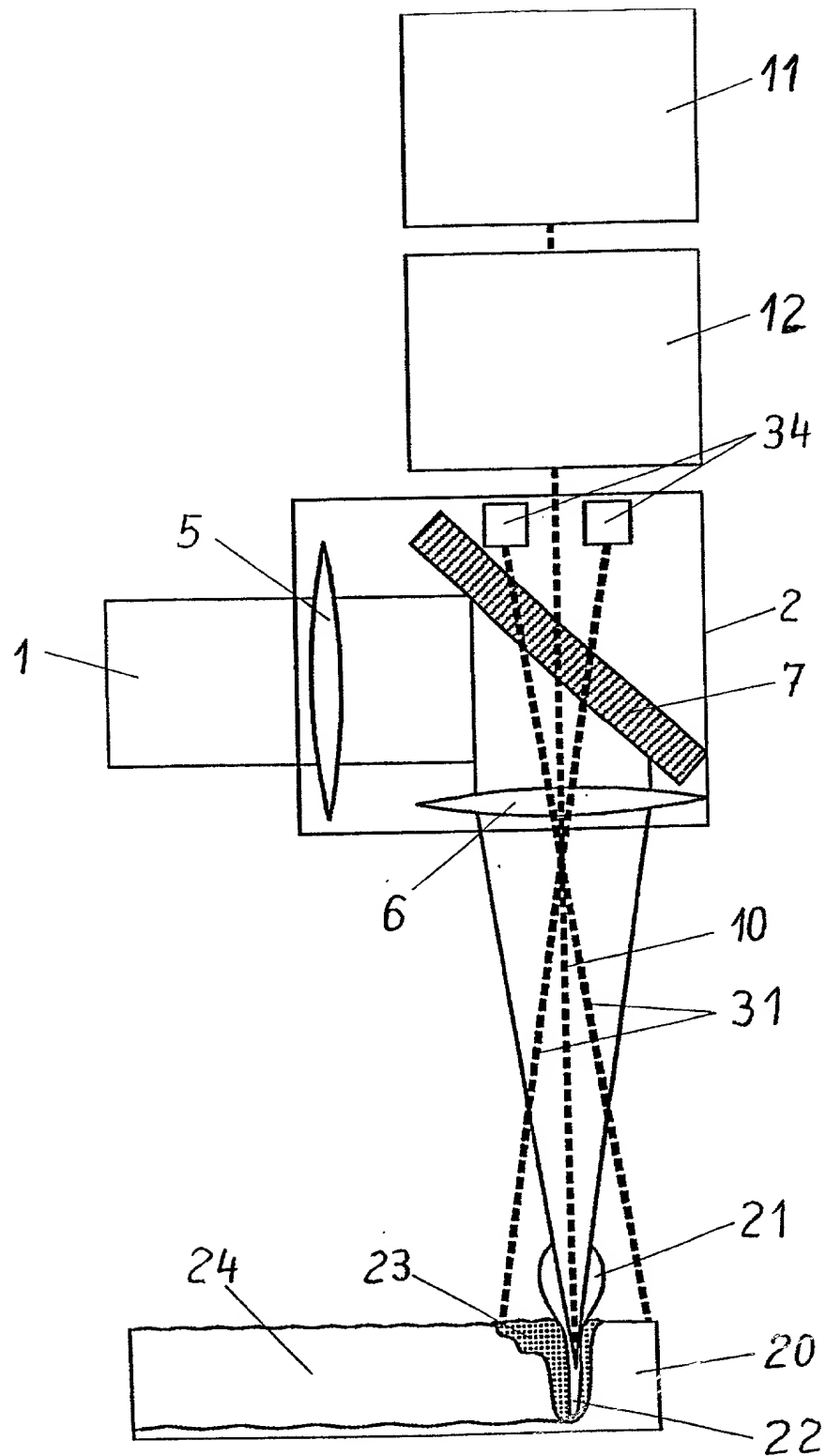


Fig. 2

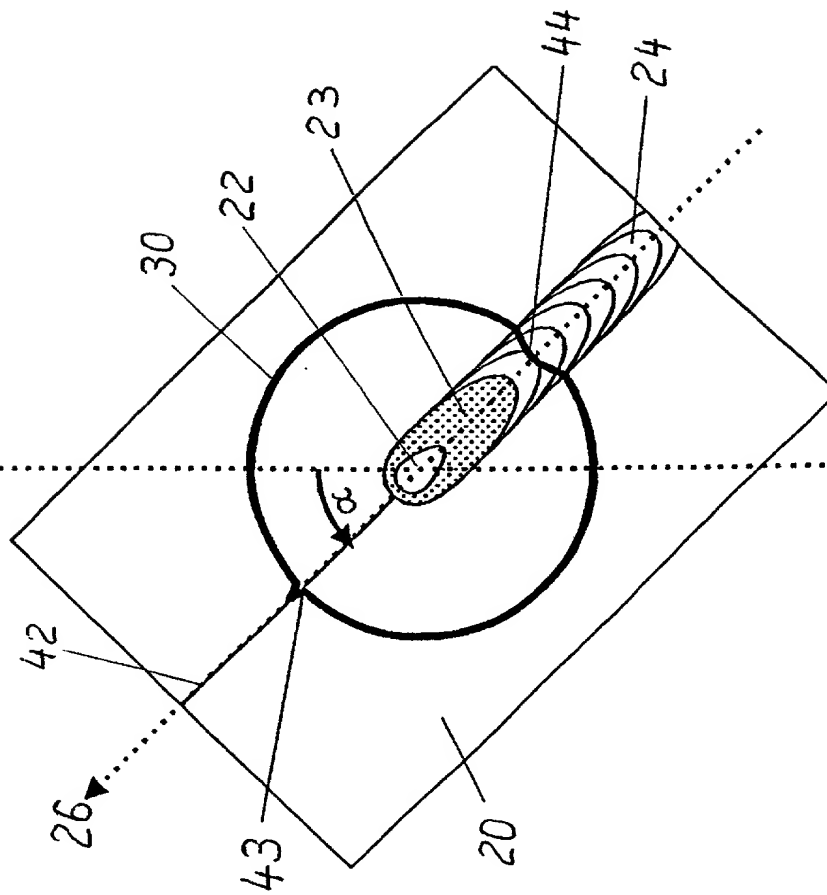


Fig. 4a

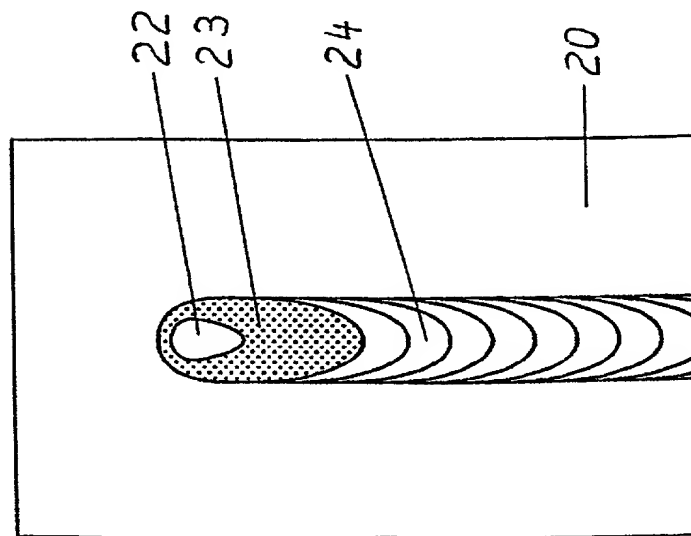


Fig. 4b

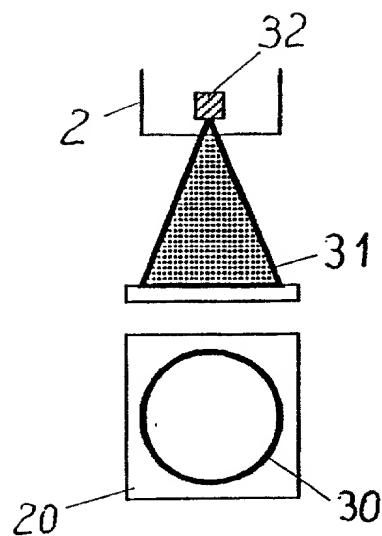


Fig 5a

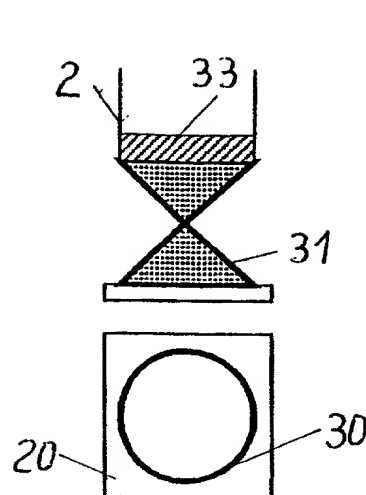


Fig. 5b

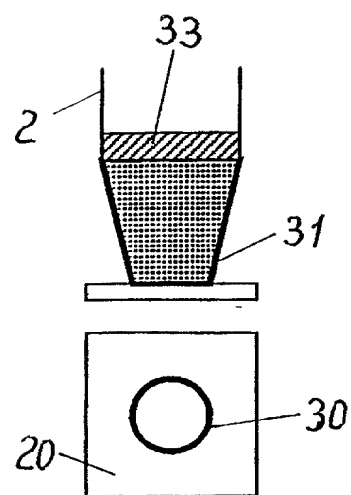


Fig 5c

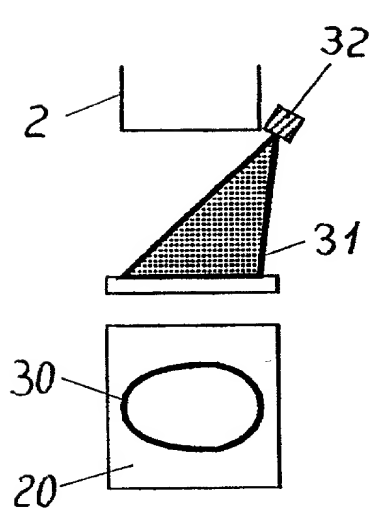


Fig. 5d

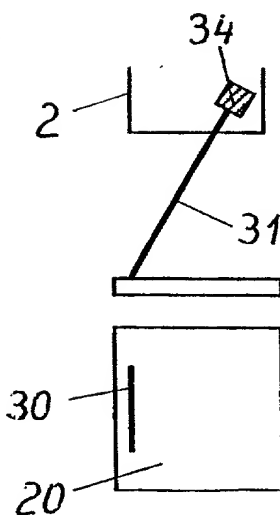


Fig 5e

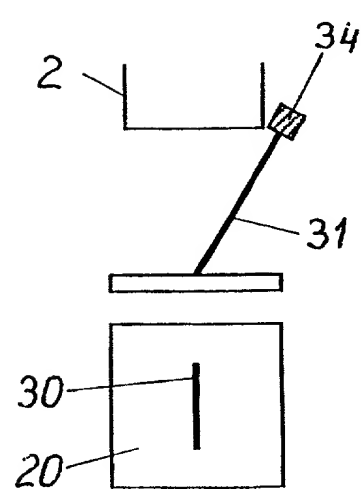


Fig. 5f

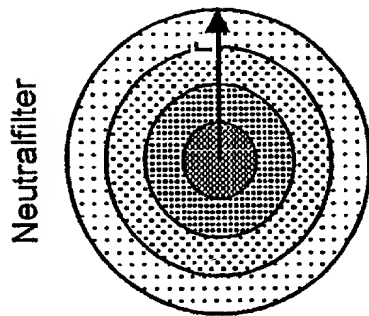
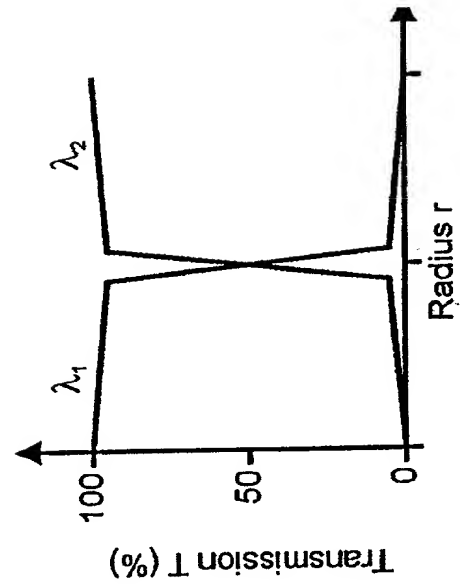
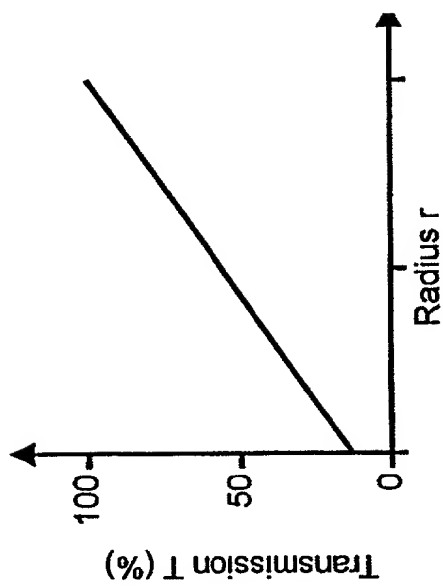


Fig. 6 a.

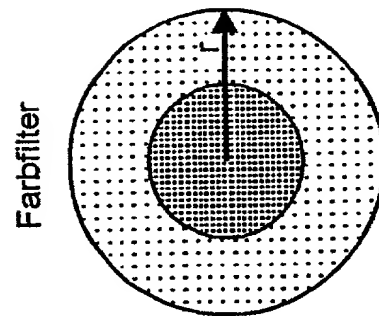


Fig. 6 b

DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "METHOD AND DEVICE FOR MACHINING WORKPIECES USING HIGH-ENERGY RADIATION", the specification of which is attached hereto, and identified by Attorney's Docket No. STUR-37.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of International Application No. PCT/DE99/03578, filed November 10, 1999, and German Patent Application No. 198 52 302.5, filed November 12, 1998.

I hereby appoint Pandiscio & Pandiscio, a firm composed of Nicholas A. Pandiscio, Registration No. 17293, Mark J. Pandiscio, Registration No. 30883, Scott R. Foster, Registration No. 20570, and James A. Sheridan, Registration No. 43114, or any of them, of 470 Totten Pond Road, Waltham, Massachusetts 02451-1914, (Telephone No. 781-290-0060), my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section

1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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